

WISCONSIN WEALTH ADVISORS, LLC
PLANNING COUNSEL TATION AGREEMENT

This Agreement for planning and review services is made between

_____ (“**Client**”)

and Wisconsin Wealth Advisors, LLC (“**Adviser**”).

WITNESSETH:

WHEREAS, Adviser is in the business of providing investment advice, including advice relating to financial and estate planning; and

WHEREAS, Client desires to have Adviser provide advice to Client as specified in this Agreement.

NOW, THEREFORE, in consideration of the premises and mutual promises herein contained, the parties agree as follows:

1. Services of Adviser. Adviser shall review Client’s present investments, financial circumstances and goals and provide advice to Client, including financial planning, estate planning, investment purchase and sale, and asset allocation recommendations, as more specifically described on Schedule A.

This Agreement shall be applicable only to financial and estate planning advice contained in the financial analyses or investment recommendations individually prepared for Client.

Client understands that Adviser does not guarantee the results of any recommendation or the overall performance of Client’s account.

2. Responsibilities of Client. Client agrees to provide information regarding Client’s income, investments, income tax situation, estate plan, and other pertinent matters as requested by Adviser to prepare its advice or special project. Client also agrees to discuss needs and goals and projected future needs candidly with Adviser and to keep Adviser informed of changes in Client’s situation, needs and goals. Client acknowledges that Adviser cannot adequately perform its services on Client’s behalf unless Client performs such responsibilities and that Adviser’s analyses and recommendations are based on the adequacy and accuracy of information provided by Client. Client agrees to permit Adviser to consult with and obtain information about Client from Client’s accountant, attorney and other advisers. Adviser shall not be required to verify any information obtained from Client, Client’s attorney, accountant or other advisers and is expressly authorized to rely thereon. Client acknowledges that Client has the sole authority to implement, accept, or reject any or all advice given by Adviser.

3. Confidentiality. All information and advice furnished by either party to the other, including their agents and employees, shall be treated as confidential and not disclosed to third parties except as agreed upon in writing or required by law. Adviser is herein given absolute authority by Client to disclose, provide copies of, and communicate information obtained from Client or developed by Adviser, to ...

4. Basis of Advice. Client acknowledges that Adviser obtains information from a wide variety of publicly available sources and that Adviser has no sources, and does not claim to have sources, of inside or private information. The recommendations developed by Adviser are based upon the professional judgment of Adviser and its individual counselors.

5. Implementation. Client is free to obtain legal, accounting and brokerage services from any professional source to implement the recommendations of Adviser. Adviser shall cooperate with any attorney, accountant, or broker chosen by Client with regard to implementation of any recommendations.

6. Acknowledgments by Client and Adviser. It is understood by and between the parties that Adviser shall have no custody or control of Client funds or securities and that Adviser shall not have authority to direct the execution of insurance or securities transactions for or on behalf of Client without client's specific direction.

Client understands that Adviser representatives giving advice to Client are also licensed as insurance agents and that when Client purchases insurance products recommended by a representative, the representative will receive a sales commission. Client also understands a conflict of interest exists when a representative of Adviser recommends a product as Client's adviser when a sales commission will be paid if the advice is followed.

7. Legal and Accounting Services. It is understood and agreed that Adviser and its representatives are not qualified to and will not provide any legal advice nor prepare any legal documents for the implementation of Client's financial and investment plans. Client agrees that Client's personal attorney solely shall be responsible for the rendering and/or preparation of the following: (i) all legal advice; (ii) all legal opinions and determinations; and (iii) all legal documents. To the extent the Adviser's representatives are qualified to do so, they may perform accounting functions through Adviser's affiliate accounting firm.

8. Fees to Adviser. In consideration of the services provided by Adviser, Client shall pay to Adviser a flat fee or \$100-200 per hour for the projects, evaluations or analyses described on Schedule A. The fee is due upon signing of this Agreement. Client understands that in isolated cases fees may be payable in installments with permission of Adviser.

9. Termination. Either party may terminate this Agreement upon five (5) days written notice to the other by certified or registered mail to the last known address of the other. In the event of termination or non-performance, all fees paid in advance but not earned by Adviser will be returned to Client and Client agrees to pay Adviser all fees due Adviser for services rendered but not covered by prepaid fees. If Client has not received Part II of Adviser's Form ADV at least 48 hours prior to signing this Agreement, Client shall have the right to terminate this Agreement without penalty within five business days of the date Client signs this Agreement.

10. Assignment. Neither party hereto may assign, convey or otherwise transfer any of its rights, obligations or interests herein without the prior express written consent of the other party. This Agreement shall be binding on the heirs, executors, administrators, legal representatives, successors, and assigns of the respective parties.

11. Complete Agreement. This Agreement represents the complete Agreement of the parties with regard to the subject matter and supersedes any prior understanding or agreements, oral or written. This Agreement may be amended only by an instrument signed by Client and by Adviser.

12. Unenforceability. Any provision hereof which is prohibited or unenforceable shall be ineffective as to the extent of such prohibition or unenforceability without invalidating the remaining provisions hereof.

13. Arbitration. Any controversy or claim arising out of or relating to this Agreement, or the construction or breach thereof, shall be settled by arbitration in accordance with the arbitration rules of a recognized alternative dispute resolution organization as Client may designate. Client agrees that if Client does not notify Adviser in writing of Client's designation within ten (10) days after Client receives a written demand for arbitration from Adviser, then Adviser can designate the resolution organization. Judgment on the award rendered by arbitration shall be final and may be entered in any court having jurisdiction thereof.

14. Execution. This Agreement may be executed in several counterparts each of which shall be deemed an original. The validity of this Agreement and of any of its terms or provisions, as well as the rights and duties of the parties hereunder, shall be governed by the laws of the State of Wisconsin.

15.

Adviser and Client have each caused this Agreement to be duly executed on the date shown above. Client hereby acknowledges receiving a copy of this Agreement and Part II of Adviser's Form ADV, or an equivalent brochure.

Dated this _____ day of _____, 20__.

Accepted:

WISCONSIN WEALTH ADVISORS, LLC:

CLIENT:

By: _____

Terry Balding, President

Name of Client

Name of Client (continued)

X _____
Authorized Signature(s)

(Please Type or Print Name)

X _____
Authorized Signature(s)

(Please Type or Print Name)

SCHEDULE A

Description of Advisory Services to be performed:

_____ Financial Planning Services Requested:

_____ Estate Planning Services Requested:

_____ Other _____

Specific review and financial services to be provided:

Client Initials: _____
Date: _____

Flat Fee \$ _____

Hourly

Estimate of Number of Hours to Complete Project: _____

Hourly Rate: \$ _____ per hour

Estimate of Total Fee: \$ _____

One half of Total Fee Due Upon Signing of Agreement: \$ _____

Balance due promptly after completion of services. \$ _____